

# CSRS Retirement Contributions Program

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**FY 2009 Formula Grant Opportunity (FGO)- Final**

**APPLICATION DEADLINE:     October 30, 2009**



**U.S. Department of Agriculture**



**Cooperative State Research, Education, and Extension Service**

**COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE;  
U.S. DEPARTMENT OF AGRICULTURE**

**CSRS RETIREMENT CONTRIBUTIONS PROGRAM**

**FINAL ANNOUNCEMENT**

**CATALOG OF FEDERAL DOMESTIC ASSISTANCE:** This program is listed in the Catalog of Federal Domestic Assistance under 10.500.

**DATES:** Applications must be received by close of business (COB) on October 30, 2009 at 5:00 p.m. Eastern Time.

**EXECUTIVE SUMMARY:** The Cooperative State Research, Education, and Extension Service (CSREES) requests applications for the FY 2009 formula grants authorized under the CSRS Retirement Contributions Program. These grants are used to defray the CSRS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work. The amount available for support of this program in FY 2009 is \$10,315,608.

This notice identifies the objectives for CSRS Retirement Contributions Programs, the eligibility criteria for applicants, and the application forms and associated instructions needed to apply for a FY 2009 CSRS Retirement Contributions Program formula grant allocation. CSREES additionally requests stakeholder input from any interested party for use in the development of the next Formula Grant Opportunity (FGO) for this program. Please send questions or comments to Patricia Myers, Lead Grant Specialist, Formula Grants Section, Stop 2298, 1400 Independence Avenue, SW, Washington, DC 20250-2298; telephone: (202) 205-0453; fax: (202) 401-7752; or e-mail: [formulagrantsquestions@csrees.usda.gov](mailto:formulagrantsquestions@csrees.usda.gov).

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## **PART I—FUNDING OPPORTUNITY DESCRIPTION**

### **A. Legislative Authority and Background**

The Omnibus Appropriations Act, 2009 (P.L. No. 111-8) provides funds for the retirement costs of the former Schedule A Appointments at the 1862 land-grant institutions. These funds are allocated based on each institution's relative share of the total payments to OPM during the previous year.

**The separate allocation of funds for this purpose is being phased out over a 10-year period, beginning in FY 2007. The amount available for this program is reduced each fiscal year by \$1,473,658 and the same amount is added to the funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.**

The Schedule A 213.3113 (a) (1) was issued by the U.S. Office of Personnel Management (OPM) for the United States Department of Agriculture (USDA) to employ individuals in the Cooperative Extension Service (CES) as a joint appointment between USDA and the land grant institution. The official title of Schedule A appointees includes the title Agent (e.g., Agent/Cooperative Extension Director; Agent/Cooperative Extension Educator) as by law only agents with a Schedule A appointment were eligible to participate in the Federal benefit plan(s).

Employment is dependent upon an individual's appointment in the CES at the university. The appointment must be a regular position with at least 50 percent of the staff's time dedicated to extension projects, and the appointee must work and be paid over a 12-month period.

Agents in the Cooperative Extension program are not classified under the General Schedule (GS) classification system or any other Federal pay system. The positions are classified by the employing land grant institution, and the salary is established according to policies and procedures at the institution.

The OPM has the authority and responsibility for issuing rules and regulations covering Schedule A appointments and resulting benefits. OPM has delegated to the USDA the responsibility for overseeing and managing Cooperative Extension Schedule A appointments and the accompanying Federal benefits, (e.g., retirement and insurance plans). The Human Resources Division (HRD)/Cooperative Extension Service (CES) Team within Administrative and Financial Management (AFM) of the Agricultural Research Service (ARS) carries out this function for USDA.

Effective January 31, 2003, the authority to grant Schedule A Excepted Appointments to CES employees was terminated. Public Law 107-171, Farm Security and Rural Investment Act of 2002, dated May 13, 2002, Section 7220, terminated the Schedule A appointing authority used by USDA to grant a companion Federal appointment and Federal benefits to state/university employees of the Cooperative Extension Service. The law allowed the CES Federal appointees that were employed on the day before the date of the enactment of the Act, (i.e., May 12, 2002) to: a) continue to accrue Federal creditable service for retirement; and b) participate in the

following benefits as long as the employees continued their employment with the Cooperative Extension Service:

- 1) the Federal Employees Health Benefits Program (FEHB);
- 2) the Federal Employees' Group Life Insurance Program (FEGLI);
- 3) the Civil Service Retirement System (CSRS);
- 4) the Federal Employee Retirement System (CSRS);
- 5) the Thrift Savings Plan (TSP); and
- 6) the Federal Long Term Care Insurance Program (FLTCIP).

The Federal Office of Workers Compensation Program (OWCP) is the only Federal program that will not continue as it is not a program that the dual appointee was vested in. Claims already on file with the Department of Labor (DOL) Office of Workers Compensation Office will continue to be processed by the DOL. Using a formula based in the prior year's injury or illness claims, CSREES will pay these claims on behalf of each institution and charge that institution by reducing its annual retirement allocation by the amount remitted. If the amount of claims paid exceeds the institution's annual retirement allocation, CSREES will bill the institution for any OWCP costs in excess of the annual retirement allocation.

Changes and new features for the current Federal Benefits programs such as FEHB, FELGI, TSP and FLTCIP will be offered to the CES organizations and employees that are eligible to participate in the affected program(s). However, former Federal appointees cannot participate in new Federal benefit programs that were not in effect as of May 12, 2002.

Effective January 31, 2003, CES can no longer grant Schedule A Federal appointments to new employees because they no longer have a Federal appointment authority available to make new Federal appointments. Former CES Schedule A appointees may be reappointed to another CES organization and continue their benefits as long as they do not have a break in service.

In FY 2007, CSREES conducted a Grants.gov pilot for the solicitation of one of the CSREES Formula Programs, the McIntire-Stennis Cooperative Forestry Program. Under this pilot the institutions were required to submit a SF-424M Application (Cover Page Form), CSREES Supplemental Form, and Key Contacts Form through Grants.gov. CSREES is implementing this process for all CSREES Formula Programs in FY 2008 due to the mandated reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA). Under this law, the U.S. Office of Management and Budget (OMB) was required to develop and maintain a public searchable data base for all Federal financial assistance awards, and Federal agencies are required to report specific data elements which will be collected via these applications (e.g., Dun and Bradstreet data Universal Numbering (DUNS) numbers, legal name of institution, legal address, congressional district). OMB makes this information available at <http://www.usaspending.gov>. The law also requires by January 1, 2009, subaward data be made available through the same public website.

Please note that the submission of this application package does not change the following:

- Delegated authorities of the Extension Director
- How funds are managed at your institution

-Indirect costs and tuition remission are unallowable costs

Guidelines for the administration of this program are published as Chapter III, Financial Operations, of the Administrative Handbook for Cooperative Extension Work, which may be accessed at <http://www.csrees.usda.gov/business/awards/formula/manuals/coopextension.pdf>. Please note that any instructions in this FGO supersede policies and procedures identified in this manual. CSREES will be revising this manual and will promulgating administrative provisions for this program through rulemaking which includes a public comment period in late 2009.

## **B. Purpose and Priorities**

The purpose of this funding is to defray the CSRS retirement costs to the 1862 land-grant institutions for former Schedule A appointees performing agricultural extension work.

## **PART II—AWARD INFORMATION**

### **A. Available Funding**

FY 2009 Federal funding of \$10,315,608 will be distributed according to an administrative formula described above. See Appendix A for specific allocations.

CSRS Retirement Contributions Program funds are expected to be fully expended in the fiscal year of appropriation; however, funds allocated may be carried over for up to four years from the year end for which they were appropriated.

### **B. Type of Submission**

In FY 2009, pre-award form submissions must be submitted to the CSRS Retirement Contributions Program as a “new” application on the [www.grants.gov](http://www.grants.gov) website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) System, and together satisfy all legislative and regulatory pre-award requirements.

### **C. Project Types**

Applicants should request funding at the amounts listed in Appendix A to this announcement. CSREES will fund the CSRS Retirement Contributions Program in FY 2009 for CSRS retirement costs to the 1862 land-grant institutions.

## **PART III—ELIGIBILITY INFORMATION**

### **A. Eligible Recipients**

Applications may be submitted by 1862 land-grant institutions that are making payments to the U.S. Office of Personnel Management for CSRS retirement costs for the former Schedule A Appointments. See Appendix A for the list of eligible institutions.

## **B. Cost Sharing or Matching**

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. §343(e)(1) states, with regard to institutions in the 50 states, “no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work.” However, section 3(e)(4) of the Smith-Lever Act (U.S.C. §343(e)(4) provides that “Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.”

## **PART IV—SUBMISSION INFORMATION**

### **A. Address to Request Formula Grants Opportunity Package**

Only electronic applications may be submitted via Grants.gov to CSREES in response to this FGO.

Prior to preparing an application, it is suggested that the State Extension Director or his or her designees, first contact an Authorized Representative (AR) to determine if the organization is prepared to submit electronic applications through Grants.gov. If the organization is not prepared, the AR should see [http://www.grants.gov/applicants/get\\_registered.jsp](http://www.grants.gov/applicants/get_registered.jsp) for steps for preparing to submit applications through Grants.gov.

The State Extension Director, or his or her designee, also must contact the institution’s AR in order to be granted permission for the submission of applications through Grants.gov. Once that permission is granted, the State Extension Director or his or her designee, can obtain a login and password.

The steps to access application materials are as follows:

1. In order to access, complete and submit applications, applicants must download and install a version of Adobe Reader compatible with Grants.gov. This software is essential to apply for CSREES Federal assistance awards. For basic system requirements and download instructions, please see [http://www.grants.gov/help/download\\_software.jsp](http://www.grants.gov/help/download_software.jsp). To verify that you have a compatible version of Adobe Reader, Grants.gov established a test package that will assist you in making that determination. Grants.gov Adobe Versioning Test Package: <http://www.grants.gov/applicants/AdobeVersioningTestOnly.jsp>.
2. The application package must be obtained via Grants.gov, go to <http://www.grants.gov>, click on “Apply for Grants” in the left-hand column, click on

“Step 1: Download a Grant Application Package and Instructions,” enter the funding opportunity number “**USDA-CSREES-OP-002605**” in the appropriate box and click “Download Package.” From the search results, click “Download” to access the application package.

**If assistance is needed to access the application page** (e.g., downloading or navigating PDF forms, using Adobe with a Macintosh computer), refer to the resources available on the Grants.gov Web site first (<http://grants.gov>). Grants.gov assistance is also available as follows:

Grants.gov customer support  
Toll Free: 1-800-518-4726  
Business Hours: M-F 7:00 am – 9:00 pm Eastern Standard Time  
Email: [support@grants.gov](mailto:support@grants.gov)

See <http://www.csrees.usda.gov/funding/electronic.html> for additional resources for applying electronically.

## **B. Content and Form of Formula Grants Opportunity Package Submission**

### **General**

Each grant application must contain an “Application for Federal Assistance,” Form SF-424 Mandatory; “Supplemental Information Form,” CSREES Supplemental Information Form; and “Key Contacts Form,” SF-424 Key Contacts. If applicable, use “Form SF-424 Mandatory” to submit a matching waiver request. See E(2) of this Part for more details. These forms should be completed in accordance with the instructions accompanying the form on the grants.gov website. The following are the government-wide instructions followed by *agency specific guidelines*, if any, for each data element for each form:

### **Grant Application Package**

Verify that the information shown corresponds to the grant for which the applicant wishes to apply. Grants.gov will auto-populate the following information:

- Opportunity Title
- Offering Agency
- Catalog of Federal Domestic Assistance (CFDA) Number
- CFDA Description
- Opportunity Number
- Competition ID
- Opportunity Open Date
- Opportunity Close Date
- Agency Contact

Enter a name for the application in the \* ***Application Filing Name*** field. This field is required. This name is for use solely by the applicant for you to track through the Grants.gov submission process. It is not used by the receiving agency.

Open and complete all of the documents listed in the “Mandatory Documents” box. Complete the SF-424 first. Data entered on the SF-424 will populate other mandatory and optional forms where possible.

To open an item, simply click on it to select the item, click the =>button to move it to the Mandatory Documents for Submission box and then click on the “Open Form” button. To remove a form/document from the “Mandatory Documents” box, click the form/document name to select it, and then click the <= button. This will return the form/document to the “Mandatory Documents” or “Optional Documents” box.

**1. Application for Federal Assistance (Standard Form (SF)-424 M (Mandatory))**

- 1a. **Type of Submission:** Select one Type of Submission with agency instructions. *Select “Application.”*
- 1b. **Frequency:** Select applicable frequency for the Type of Submission in 1.a. *Select “Annual.”*
- 1c. **Consolidated Application/Plan/Funding Request?** Indicate if the submission is a consolidated application/plan/funding request. *Select “No.”*
- 1d. **Version:** Select the applicable version for the Type of Submission in 1.a:

*Initial: Select “Initial” in response to this FGO. Use it to submit your institution’s final submission application package, including the actual formula distribution per Appendix A and available matching funds.*

- 2. **Date Received:** Federal use only.
- 3. **Applicant Identifier:** Applicant use only. *Use or leave blank.*
- 4a. **Federal Entity Identifier:** Enter Federal entity identifier, if any, as specified in agency instructions. *Leave blank.*
- 4b. **Federal Award Identifier:** Enter Federal award identifier assigned by agency (if applicable). *Enter “USDA-CSREES-OP-002605”.*
- 5. **Date Received by State:** State use only. *Use or leave blank.*
- 6. **State Application Identifier:** State use only. *Use or leave blank.* This field is for use when an institution has its own system for tracking applications. This field allows the applicant to enter its application identifier on the application.
- 7a. **Legal Name:** Enter the following: Legal name of applicant. *Enter the name of the institution.*

- 7b. **Employer/Taxpayer Identification Number (EIN/TIN):** Enter: Employer Identification Number (EIN) or Taxpayer Identification Number (TIN) as assigned by the Internal Revenue Service.
- 7c. **Organizational DUNS Number:** Enter: Organization's D-U-N-S® number (received from D&B) or the D-U-N-S +4 number®.
- 7d. **Address:** Enter: Complete address of applicant. *Enter the institution's mailing address.*
- 7e. **Organizational Unit:** Enter: Name of primary organizational unit (and department/division, if applicable), which will undertake the assistance activity. *Enter the office where the State Extension Director is located.*
- 7f. **Name and contact information of person to be contacted on matters involving this application:** For the person to contact on matters related to this submission: name, organizational affiliation (if affiliated with an organization other than the applicant organization, e-mail address, phone number, and fax number. *Enter the contact information for the person performing this electronic submission.*
- 8a. **Type of Applicant:** Select the appropriate letter and enter in the space provided. Letters O, P, Q, R, S, T, U, V, and W are not applicable.
- A. State Government
  - B. County Government
  - C. City or Township Government
  - D. Special District Government
  - E. Regional Organization
  - F. U.S. Territory
  - G. Independent School District
  - H. Public/State Controlled Institution of Higher Education
  - I. Indian/Native American Tribal Government (Federally Recognized)
  - J. Indian/Native American Tribal Government (Other than Federally Recognized)
  - K. Indian/Native American Tribally Designated Organization
  - L. Public/Indian Housing Authority
  - M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)
  - N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)
  - X. Other (specify in accordance with agency instructions)
9. **Name of Federal agency:** Enter name of Federal agency from which assistance is being requested. *No entry required. This field is pre-populated from the opportunity package.*
10. **Catalog of Federal Domestic Assistance Number:** Enter the Catalog of Federal Domestic Assistance (CFDA) number and title of the program under which assistance is requested. Use the continuation sheet to enter multiple CFDA numbers and titles. *No entry required. This field is pre-populated from the opportunity package.*

11. **Areas Affected by Funding (Cities, Counties, States, etc. Use continuation sheet on page 5 if necessary):** List areas or entities affected using categories specified in agency instructions. Use the continuation sheet to enter multiple entities beyond the space provided. *Enter cities, counties, states, etc. anticipated to be affected by the FY 2009 allocation you will receive.*
- 12a. **Congressional Districts of (Use continuation sheet on page 5 if necessary), Applicant:** Applicant-Enter the applicant's congressional district. Enter the congressional district. *Enter the Congressional district where the main campus is located.*
- 12b. **Congressional Districts of (Use continuation sheet on page 5 if necessary), Program/Project:** Program/Project-Enter the congressional district(s) affected by the program or project. If all congressional districts are included for a State, use "all", e.g., all congressional districts in Maryland would show as MD-all). Use the continuation sheet to enter multiple congressional districts that represent less than all congressional districts in a State or congressional districts in multiple States. *(Note: If this application is being completed by someone other than the State Extension Director, the State Extension Director or his or her designee, may need to be consulted on this question.)*
- 13a. **Funding Period, Start Date:** Enter the start date of the funding period for this submission. *Enter "10/01/2008".*
- 13b. **Funding Period, End Date:** Enter the end date of the funding period for this submission. *Enter "09/30/2009"*
- 14a. **Estimated Funding, Federal:** Federal-Enter the amount requested from the Federal agency. If the agency has specified an amount, enter that amount. *Enter your institution's FY 2009 allocation from Appendix A to this announcement.*
- 14b. **Estimated Funding, Match:** Match-Enter the amount of funds from all other sources. Enter the amount your institution will match.
15. **Is Submission Subject to Review By State Under Executive Order 12732 Process?** Select "*c. Program is not covered by E.O. 12372.*"
16. **Is the Applicant Delinquent On Any Federal Debt?** Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans, and taxes. This question applies to the applicant (institution) organization.
17. **By signing this application, I certify (1) to the statements contained in this list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms (as identified under Part VI, B) if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may**

**subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)** To be signed by the State Extension Director, or his or her designee, of the applicant organization. Enter the name, title, phone number, e-mail address, and fax number of the State Extension Director, or his or her designee. *The required assurances designated here includes the institutions compliance with all Federal laws and regulations associated with receipt of Federal Domestic Assistance for this program, including the matching certification and certification. By hitting the submit button of the application, the State Extension Director, or his or her designee certifies to the above.*

## **2. CSREES Supplemental Information Form (Required)**

1. **Funding Opportunity Name:** *No entry required. This is pre-populated from the opportunity package.*  
**Funding Opportunity Number:** *No entry required. This is pre-populated the opportunity package.*
2. **Program Code Name:** *Enter “CSRS Contributions Program”*  
**Program Code:** *Enter “CSRS”*
3. **Type of Applicant:** *No entry required. This will be pre-populated from your entry on the application cover sheet.*
4. **Additional Applicant Types:** *Select “1862 Land-Grant University” from the drop down menu in this block.*
5. **Supplemental Applicant Types:** *Please check all that apply.*
6. **HHS Account Information:** *Click on the radio button next to “Yes”. Enter your HHS PIN number in the appropriate box. IMPORTANT: IN THIS CONTEXT YOUR PIN NUMBER IS YOUR HHS ACCOUNT NUMBER. DO NOT ENTER THE PASSWORD YOU USE TO ACCESS THE HHS PAYMENT MANAGEMENT SYSTEM.*
7. **Key Words:** *Enter “Not applicable.”*
8. **Conflict of Interest List:** *Leave blank.*

## **3. Key Contacts Form (Required)**

**Applicant Organization Name:** Enter the complete legal name of the institution.

**Contact 1 Project Role:** *Enter the contact information for the State Extension Director.*

**Contact 2 Project Role:** *Enter the contact information for the State Extension Director’s designee.*

**Contact 3 Project Role:** *Enter the contact information for the Business Office Manager/Administrator for this program.*

**Contact 4 Project Role:** *Enter the contact information for the person responsible for drawdowns.*

#### **4. Matching Funds Waiver Request (Optional)**

If your institution is applying for a matching fund waiver, please prepare a request in PDF format. Please include the following elements in the request:

- (a) a request for the waiver by grant,
- (b) a statement of the fiscal year(s) for which the waiver is requested,
- (c) a statement of the amount of waiver being requested by fiscal year and how the amount was computed (by matching fund category),
- (d) a statement of why the waiver is required,
- (e) documentation supporting the need for a waiver, and
- (f) the institution's efforts to meet future matching needs.

The documentation should include the institution's approved budget for the program for both the current and previous budget cycles. It may also submit items such as a statement from the local government asserting what portion of the required match amount the local government contribution to the institution will cover, a schedule of projected cash flows showing what portion of the matching funds the institution will have available or other documents such as letters from local government or institution budget personnel or newspaper articles. In requesting the waiver, the institution must consider the availability of all permissible forms of matching in detail.

Please attach the matching waiver request to the "Form SF-424 Mandatory". To attach the waiver request to the form, go to "Attach supporting documents as specified in agency instructions," click on "Add Attachment," and follow the instructions.

#### **Saving the Application**

The application package can only be saved from the Grant Application Package screen. It is recommended that after the applicant completes each form/document that they click on the "Close Form" button to close the form and then save the application package by clicking on the "Save" button at the top of the Grant Application Package Screen. It is important to note that the application package will be saved to the last active directory on the applicant's computer. Therefore, the applicant should save the application package to their hard drive where it can be easily accessed. The applicant may save their application package at any time and should save the application package with the same file name each time. An error message will occur each time that the applicant saves the form before completing all of the mandatory fields on the mandatory forms or the optional forms are moved to the Optional Completed Documents for Submission column on the application package. The applicant may ignore this warning. This warning will not appear when all of the mandatory fields have been completed on all of the forms/documents in the application package.

## Check Package for Errors

To initiate the Grants.gov edit check process, simply click on the “Check Package for Errors” button at the top of the screen. This process will identify all mandatory fields that have not been completed by the applicant. These errors will be displayed one at a time.

No checks against CSREES business rules will take place at this time.

## Submitting the Application

The AR must open the browser, open the application and then press the Submit button. To submit the application:

1. Close all open browser(s) and running applications.
2. Open the internet browser.
3. Navigate to the physical location where the application file is located. Select the application to open.
4. The internet browser will open the application. This may take a few moments. Please be patient.
5. Once the application is completely open, click the **Save and Submit** button. (The Submit button will not become active until all mandatory documents have been properly completed and the application has been saved.) You are required to save the applications again at this point in order to begin the submission process.
6. Click **Save and Submit**.
7. A dialog box will appear and you will need to, enter your Grants.gov username and password.
8. Lastly, click **Login**.

Only the AR has the authority and user rights to **Save and Submit** an application to Grants.gov. Additional submission tips may be found at the Grants.gov website.

Grants.gov Submission Tips: [http://www.grants.gov/help/submit\\_application\\_faqs.jsp](http://www.grants.gov/help/submit_application_faqs.jsp)

## C. Certifications

**Important Note:** By signing Form SF-424-M, the applicant is providing the certifications required by 7 CFR Part 3017, regarding Debarment and Suspension, 7 CFR Part 3018, regarding Lobbying, 7 CFR 3021, regarding Drug-Free Workplace, and compliance with the provisions of Chapter III, Financial Operations, of the CSREES Administrative Handbook for Cooperative Extension Work, including 7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR 3016, Uniform Administration Requirements for Grants and Cooperative Agreements to States and Local Governments; and OMB Circulars A-21, Cost Principles for Educational Institutions (now codified at 2 CFR Part 220), and A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations (now codified at 2 CFR Part 215). If the project will involve a subcontractor or consultant, the subcontractor and/or consultant should submit a Form AD-1048, Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions, to the grantee organization for retention in their records. This form should not be submitted to USDA.

## **D. Submission Dates and Times**

An “Application for Federal Assistance,” Form SF-424 Mandatory; “Supplemental Information Form,” CSREES Supplemental Information Form; “Key Contacts Form,” SF-424 Key Contacts; and if applicable, a matching waiver request (as a PDF file), should be submitted online via the [www.grants.gov](http://www.grants.gov) website by COB on October 30, 2009 (5:00 p.m. Eastern Time).

The receipt of all applications will be acknowledged by e-mail. Therefore, applicants are strongly encouraged to provide accurate e-mail addresses on the Key Contacts Form on the [www.grants.gov](http://www.grants.gov) website.

**If an applicant has not received an acknowledgment within 60 days of the submission, the applicant must contact the Agency contact (see Part VII) immediately and ask for the application number assigned to the application. Failure to do so may result in the application not being processed and funding being held.** Once the application has been assigned an application number, this number should be cited on all future correspondence.

The Formula Grants Section, Financial Operations Branch, within the Office of Extramural Programs will determine if all program, financial, and administrative requirements have been met prior to the release of funding.

## **E. Funding Restrictions**

### **(1) Approved CSREES CSRS Retirement Contributions Program Costs**

CSREES CSRS retirement allocations must be used to support CSRS retirement costs for former Schedule A Appointments.

### **(2) Matching**

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. §343(e)(1) states, with regard to institutions in the 50 states, “no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work.” However, section 3(e)(4) of the Smith-Lever Act (U.S.C. §343(e)(4) provides that “Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.”

CSREES may consider and approve matching waivers submitted by 1862 land-grant Institutions in insular areas.

Please note that these matching funds may be used for any extension activity identified in the state plan of work.

### **(3) Indirect Costs and Tuition Remission**

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. §3319), indirect costs and tuition remission are unallowable as CSRS retirement contribution formula grant expenditures.

## **PART V—SUBMISSION REVIEW REQUIREMENTS**

The Formula Grants Section, Financial Operation Branch, within the Office of Extramural Programs will determine if all program, financial, and administrative requirements have been met prior to the release of funding.

## **PART VI—AWARD ADMINISTRATION**

### **A. General**

Once all program, financial and administrative requirements have been met by the eligible institution, funding will be released.

### **B. Administrative and National Policy Requirements**

Several Federal statutes and regulations apply to grant applications considered for review and to project grants awarded under this program. These include, but are not limited to:

7 CFR Part 1, subpart A — USDA implementation of the Freedom of Information Act.

7 CFR Part 3 — USDA implementation of OMB Circular No. A-129 regarding debt collection.

7 CFR Part 15, subpart A — USDA implementation of Title VI of the Civil Rights Act of 1964, as amended.

7 CFR Part 331 and 9 CFR Part 121 — USDA implementation of the Agricultural Bioterrorism Protection Act of 2002.

7 CFR Part 3015 — USDA Uniform Federal Assistance Regulations, implementing OMB directives (i.e., OMB Circular Nos. A-21 and A-122, now codified at 2 CFR Parts 220 and 230) and incorporating provisions of 31 U.S.C. 6301-6308 (formerly the Federal Grant and Cooperative Agreement Act of 1977, Pub. L. No. 95-224), as well as general policy requirements applicable to recipients of Departmental financial assistance.

7 CFR Part 3017 — USDA implementation of Governmentwide Debarment and Suspension (Nonprocurement).

7 CFR Part 3018 — USDA implementation of Restrictions on Lobbying.

7 CFR Part 3019 — USDA implementation of OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations (now codified at 2 CFR Part 215).

7 CFR Part 3021—USDA Implementation of Governmentwide Requirements for Drug-Free Workplace (Financial Assistance).

7 CFR Part 3052 — USDA implementation of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations.

7 CFR Part 3407 — CSREES procedures to implement the National Environmental Policy Act of 1969, as amended.

29 U.S.C. 794 (section 504, Rehabilitation Act of 1973) and 7 CFR Part 15b (USDA implementation of statute) —prohibiting discrimination based upon physical or mental handicap in Federally assisted programs.

35 U.S.C. 200 et seq. —Bayh Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401).

### **C. Expected Program Outputs and Reporting Requirements**

Financial reporting via the SF-269, Financial Status Report, for FY 2009 is due to the Formula Grants Section, Financial Operations Branch within the Office of Extramural Programs on April 1, 2010. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website ([www.dpm.psc.gov](http://www.dpm.psc.gov)) on the 15th day of November, February, May, and August for the preceding calendar quarter. See the DHHS-PMS website for specific dates. DHHS may restrict payment requests from the grantee's account if the SF-272 report is not submitted on time.

### **PART VII—AGENCY CONTACT**

For questions regarding CSRS retirement contributions, please contact: Patricia Myers, Team Leader, Formula Funds Section, Financial Operations Branch, Office of Extramural Programs, Stop 2299, 1400 Independence Avenue, SW, Washington, DC 20250-2299; Telephone: (202) 205-0453 ; Fax: (202) 401-7752 ; E-mail: [pmyers@csrees.usda.gov](mailto:pmyers@csrees.usda.gov).

For questions relating to the completion of the grants.gov forms and funding release, please contact: Patricia Myers, Team Leader, Formula Grants Section, Financial Operations Branch, Office of Extramural Programs, Stop 2299, 1400 Independence Avenue, SW, Washington, DC 20250-2299; Telephone: (202) 205-0453; Fax: (202) 401-7752 ; E-mail: [formulagrantsquestions@csrees.usda.gov](mailto:formulagrantsquestions@csrees.usda.gov)

If help is needed with the Grants.gov registration process for the applicant organization, downloading or navigating PDF forms, using Adobe Reader with a Macintosh computer or with the technical aspects of submitting to the Grants.gov system, check the resources available on the Grants.gov Web site first (<http://grants.gov>).

Grants.gov assistance is also available as follows:

Grants.gov customer support  
Toll Free: 1-800-518-4726  
Business Hours: M-F 7:00 am – 9:00 pm Eastern Standard Time  
Email: [support@grants.gov](mailto:support@grants.gov)

If the Authorized Representative (AR) has not received a confirmation message from Grants.gov within 48 hours of submission of an application, please contact Grants.gov customer support.

When Grants.gov is contacted, a ticket number is issued to track the response. The applicant should maintain the ticket number as documentation of electronic issues associated with the application.

Grants.gov Help Desk Operations Process is described below:

Tier 1: Grants.gov Contact Center Operator

- Handles the initial contact and attempts to resolve the call.
- If not, files a research request (escalation).

Tier 2: Research Analyst

- Escalations go to the research analyst who does further research on the issue.
- Unable to resolve, issue escalated to Grants.gov Program management Office.

Tier 3: Grants.gov PMO

- Research Analyst works with PMO to resolve the issue.
- Typical escalations: Policy questions/System bugs/Training or Meeting Requests.

## **PART VIII—OTHER INFORMATION**

### **A. CSREES' Grants.gov Implementation Plans**

CSREES is continuing to develop its capacity to exchange proposal and grant data electronically with its grantees through [Grants.gov](http://Grants.gov) and to process, review, and award proposals and grants electronically.

In Fiscal Year (FY) 2007, CSREES conducted a pilot on the submission of the SF-424 Mandatory application package through the Grants.gov website for the McIntire-Stennis Cooperative Forestry Research Program. The pilot was successful as all eligible grantees submitted their applications through Grants.gov.

The Federal Funding Accountability and Transparency Act of 2006 requires all Federal agencies to report monthly to a publicly-accessible website on all Federal assistance awards (including grants). This requirement became effective for all awards made in FY 2007 and thereafter.

As a result, for the FY 2008 (October 1, 2007-September 30, 2008) cycle, CSREES is requiring electronic submission through Grants.gov for all Federal assistance programs (i.e., grants). For more information about CSREES' Grants.gov plans, including important announcements, program implementation details, and detailed requirements, see the CSREES' web site, [http://www.csrees.usda.gov/business/other\\_links/egov/egov.html](http://www.csrees.usda.gov/business/other_links/egov/egov.html). The information on these web sites will be updated as appropriate. It is suggested that the sites be visited periodically for important updates.

**UNITED STATES DEPARTMENT OF AGRICULTURE**  
**COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE**  
**FY 2009 Distribution of Funds to Eligible Institutions**  
**for Civil Service Retirement System (CSRS)**  
**Award # 2009-41300**  
**Appendix A - Final**

**CORRECTION - REVISION**

<u>State</u>	<u>Institution</u>	<u>Grant Number</u>	<u>FY 2009 ALLOCATION</u>	<u>100% Matching Requirement</u>	
AL	Auburn University	2009-41300-00100	\$ 399,979	\$ 399,979	*
AL	Tuskegee University	2009-41300-08902	0	0	
AK	University of Alaska	2009-41300-00200	14,531	14,531	
AZ	University of Arizona	2009-41300-00300	35,471	35,471	*
AR	University of Arkansas	2009-41300-00400	352,302	352,302	*
CA	University of California	2009-41300-00500	29,766	29,766	
CO	Colorado State University	2009-41300-00600	105,195	105,195	
CT	University of Connecticut	2009-41300-00700	0	0	
DE	University of Delaware	2009-41300-00800	28,214	28,214	
FL	University of Florida	2009-41300-00900	364,426	364,426	*
GA	University of Georgia	2009-41300-01000	439,580	439,580	*
GA	Fort Valley State University	2009-41300-08906	0	0	
HI	University of Hawaii	2009-41300-01100	23,329	23,329	
ID	University of Idaho	2009-41300-01200	58,752	58,752	*
IL	University of Illinois	2009-41300-01300	444,279	444,279	
IN	Purdue University	2009-41300-01400	244,049	244,049	
IO	Iowa State University	2009-41300-01500	308,878	308,878	
KS	Kansas State University	2009-41300-01600	270,219	270,219	
KY	University of Kentucky	2009-41300-01700	512,382	512,382	*
KY	Kentucky State University	2009-41300-08907	0	0	
LA	Louisiana State University	2009-41300-01800	430,494	430,494	
ME	University of Maine	2009-41300-01900	73,921	73,921	*
MD	University of Maryland	2009-41300-02000	157,139	157,139	
MA	University of Massachusetts	2009-41300-02100	0	0	
MI	Michigan State University	2009-41300-02200	359,335	359,335	*
MN	University of Minnesota	2009-41300-02300	316,675	316,675	*
MS	Mississippi State University	2009-41300-02400	188,141	188,141	*
MS	Alcorn State University	2009-41300-08910	0	0	
MO	University of Missouri	2009-41300-02500	235,806	235,806	

MO	Lincoln University	2009-41300-08911	0	0	
MT	Montana State University	2009-41300-02600	69,045	69,045	*
NE	University of Nebraska	2009-41300-02700	304,717	304,717	
NV	University of Nevada	2009-41300-02800	34,608	34,608	
NH	University of New Hampshire	2009-41300-02900	102,784	102,784	
NJ	Rutgers University	2009-41300-03000	115,360	115,360	
NM	New Mexico State University	2009-41300-03100	71,978	71,978	*
NY	Cornell University	2009-41300-03200	244,103	244,103	
NC	North Carolina State University	2009-41300-03300	786,241	786,241	*
NC	North Carolina A & T State University	2009-41300-08912	0	0	
ND	North Dakota State University	2009-41300-03400	153,987	153,987	
OH	Ohio State University	2009-41300-03500	62,256	62,256	
OK	Oklahoma State University	2009-41300-03600	253,551	253,551	*
OK	Langston University	2009-41300-08913	0	0	
OR	Oregon State University	2009-41300-03700	129,600	129,600	
PA	Pennsylvania State University	2009-41300-03800	59,267	59,267	
PR	University of Puerto Rico	2009-41300-03900	136,559	136,559	*
RI	University of Rhode Island	2009-41300-04000	7,526	7,526	
SC	Clemson University	2009-41300-04100	127,282	127,282	*
SC	South Carolina State University	2009-41300-08914	0	0	
SD	South Dakota State University	2009-41300-04200	83,606	83,606	*
TN	University of Tennessee	2009-41300-04300	510,567	510,567	*
TN	Tennessee State University	2009-41300-08915	0	0	
TX	Texas A & M University	2009-41300-04400	742,938	742,938	*
TX	Prairie View A & M University	2009-41300-08916	0	0	
UT	Utah State University	2009-41300-04500	96,482	96,482	
VT	University of Vermont	2009-41300-04600	16,791	16,791	
VA	Virginia Polytechnic Institute & State University	2009-41300-04700	218,968	218,968	
WA	Washington State University	2009-41300-04800	159,014	159,014	
WV	West Virginia University	2009-41300-04900	129,483	129,483	
WI	University of Wisconsin	2009-41300-05000	236,736	236,736	
WY	University of Wyoming	2009-41300-05100	69,296	69,296	
<b>TOTAL</b>			<b>\$ 10,315,608</b>	<b>\$ 10,315,608</b>	

\* The Fiscal Year 2009 appropriation amount will be reduced by the FY 2007 OWCP claims for injuries and illnesses of eligible employees when posted to the Department of Health and Human Services (DHHS) account.