

Agricultural Research at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University

FY 2010 Formula Grant Opportunity (FGO) - Final

APPLICATION DEADLINE: March 8, 2010



**U.S. Department of Agriculture
National Institute of Food and Agriculture**

**NATIONAL INSTITUTE OF FOOD AND AGRICULTURE;
U.S. DEPARTMENT OF AGRICULTURE**

**AGRICULTURAL RESEARCH AT 1890 LAND-GRANT INSTITUTIONS, INCLUDING
TUSKEGEE UNIVERSITY AND WEST VIRGINIA STATE UNIVERSITY**

FINAL ANNOUNCEMENT

CATALOG OF FEDERAL DOMESTIC ASSISTANCE: This program is listed in the Catalog of Federal Domestic Assistance under 10.205.

DATES: Applications must be received by close of business (COB) on March 8, 2010 at 5:00 p.m. Eastern Time.

EXECUTIVE SUMMARY: The National Institute of Food and Agriculture (NIFA) requests applications for the FY 2010 formula grants authorized under section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) for agricultural research at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University Program (also referred to as the “Evans-Allen Research Program”). This grant is used to support continuing agricultural research at colleges eligible to receive funds under the Act of August 30, 1890 (26 Stat. 417–419, as amended; 7 U.S.C. 321–326 and 328) (“1890 Land-Grant Institutions”), including Tuskegee University and West Virginia State University. Funds appropriated under this section shall be used for expenses of conducting agricultural research, printing, disseminating the results of such research, contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 39–40, as amended; 7 U.S.C. 331), administrative planning and direction, and purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting agricultural research. The amount available for this program in FY 2010 is \$45,750,258.

This notice identifies the objectives for Evans-Allen Research Program projects, the eligibility criteria for funding, and the application forms and associated instructions needed to apply for a FY 2010 Evans-Allen Research formula grant authorized under NARETPA section 1445 for agricultural research programs. NIFA additionally requests stakeholder input from any interested party for use in the development of the next FGO for this program. Please send questions or comments to Formula Grants Section of the Office of Extramural Programs, Stop 2298, 1400 Independence Avenue, SW, Washington, D.C. 20250-2298; fax: (202) 690-3002; or e-mail: formulagrantsquestions@nifa.usda.gov.

What's New for FY 2010?

- The FY 2010 formula grant awards will be disbursed through the Automated Standard Application for Payment System (ASAP) operated by the Department of Treasury's Financial Management Service. Applicants will receive instructions on how to access ASAP, establish an account, if necessary, and how to utilize ASAP to draw funds, create reports. Etc. The information will also include instructions of how to inform NIFA, Formula Grant Section, Awards Management Branch (FGS/AMB) of the account number to use for the FY 2010 formula grant disbursements.
- Effective February 1, the Office of Extramural Programs (OEP) Awards Management Branch (AMB) will be assuming responsibility for business functionality of the OEP Formula Grants Section. In this new role, AMB will have responsibility for the formula grants allocation development, grantee award distributions, assurances, certifications, waivers tracking, responding to grantee's questions on grants management policies, etc. AMB is well positioned to assume these responsibilities as they align with existing OMB and USDA grants management responsibilities for grant award processing and monitoring.
- All FY 2009 and prior formula grant awards will continue to be disbursed via the Payment Management System (PMS) operated by the Department of Health and Human Services (DHHS).
- There will be two (2) submissions in FY 2010:
 - Initial – For an estimated amount (see Appendix A) based on the FY 2009 allocation amounts.
 - Final – Based on the actual appropriated amount for FY 2010 and actual formula distribution for FY 2010.
- No Standard Form (SF) 424-A, Budget Information for Non-Construction Programs, and no Budget Narrative Attachment Form are required for either the initial or final submissions for FY 2010.
- The Application Package for the initial or final submissions will include:
 - Application for Federal Assistance, Standard Form (SF) 424-M (Mandatory) (Required)
 - NIFA Supplemental Information Form (Required)
 - Key Contacts Form (Required)
- Effective October 1, 2009, formula grantees are required to submit a SF-425, Federal Financial Report, which replaces the SF-272, Federal Cash Transaction Report and the SF-269, Financial Status Report. NIFA will be providing additional guidance on the implementation of the SF-425, Federal Financial Report, by mid-March, 2010.

- On October 1, 2009, all authorities of the Cooperative State Research, Education, and Extension Service (CSREES) were transferred to the National Institute of Food and Agriculture (NIFA) per section 7511 of the Food, Conservation, and Energy Act of 2008 (Pub. L. 110-246).

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PART I—FUNDING OPPORTUNITY DESCRIPTION

A. Legislative Authority and Background

Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, enacted as Title XIV of Public Law 95–113 (The Food and Agriculture Act of 1977) on September 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Funds up to the total amount made available to all eligible institutions in the fiscal year ending September 30, 1978, under section 2 of the Act of August 4, 1965 (79 Stat.431; 7 U.S.C. 450i), shall be allocated among the eligible institutions in the same proportion as funds made available under section 2 of the Act of August 4, 1965, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent shall be allotted among eligible institutions in equal proportions; 40 per cent shall be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institution are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance shall be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. In computing the distribution of the allocated funds, the allotments to Tuskegee University and Alabama A&M University shall be determined as if each institution were in a separate State.

In FY 2007, NIFA conducted a Grants.gov pilot for the solicitation of one of the NIFA Formula Programs, the McIntire-Stennis Cooperative Forestry Program. Under this pilot the institutions were required to submit a SF-424M Application (Cover Page Form), NIFA Supplemental Form, and Key Contacts Form through Grants.gov. Please note that this application package replaced the forms/information solicited each year by the NIFA Office of Extramural Programs and did not duplicate the information being collected under the Current Research Information System (CRIS). NIFA implemented this process for all NIFA Formula Programs in FY 2008 and thereafter due to the mandated reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA). Under this law, the U.S. Office of Management and Budget (OMB) was required to develop and maintain a public searchable data base for all Federal financial assistance awards, and Federal agencies are required to report specific data elements which will be collected via these applications (e.g., Dun and Bradstreet data Universal Numbering (D-U-N-S®) numbers, legal name of institution, legal address, congressional district, etc.). OMB makes this information available at <http://www.usaspending.gov>.

In FY 2010, although NIFA will require both an initial submission (based on estimated amounts per the 2009 Omnibus Appropriation Act) and final submission (based on the actual appropriated

amount for FY 2010 and the actual formula distribution for FY 2010). The initial submission took place and NIFA now requests the final submission via this FGO. NIFA will not require the following forms for FY 2010 for either submission:

- Standard Form (SF) 424-A, Budget Information for Non-Construction Programs
- Budget Narrative Attachment Form

Please note that the submission of this application package does not change the following:

- Delegated authorities of the 1890 Research Director or Extension Administrator
- How funds are managed at your institution
- Indirect costs and tuition remission are unallowable costs; and
- Matching waiver authority of NIFA for NARETPA section 1444 and 1445 funds

Guidelines for the administration of this program are published as the Administrative Manual for Evans-Allen Cooperative Agricultural Research, which may be accessed at www.nifa.usda.gov/business/awards/formula/manuals/evansallen.pdf. Please note that any instructions in this FGO supersede policies and procedures identified in this manual. NIFA will be revising this manual and promulgating administrative provisions for this program through rulemaking which includes a public comment period in early 2011.

The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010 (Pub. L. 111-80) provides \$45,750,258 in FY 2010 for this program.

B. Purpose and Priorities

The purpose of this funding is to support agricultural research activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

PART II—AWARD INFORMATION

A. Available Funding

Funds will be distributed to the institutions on a quarterly basis. See Appendix A for the specific allocations.

Evans-Allen Research Program funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one (1) additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one (1) additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carryover requests beyond this one (1) additional year.

B. Types of Submission

In FY 2010, pre-award form submissions must be submitted to the Evans-Allen Research Program as a “new” application on the www.grants.gov website. As noted previously, these

application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) and Current Research Information System (CRIS) systems, and together satisfy all legislative and regulatory pre-award requirements.

Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 Land-Grant Institution, including Tuskegee University and West Virginia State University, must submit both a 5-Year Plan of Work Update for FY 2010-2014 and an Annual Report of Accomplishments and Results. Both reports were due April 1, 2009.

Specific project details and pertinent information should be entered in CRIS as in prior years. CRIS is the U.S. Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part IV.C. for specific CRIS requirements.

C. Project Types

Applicants should request funding for the amounts listed in Appendix A to this announcement. NIFA will fund the Evans-Allen Research Program in FY 2010 for authorized activities. Funds may be used only on approved Evans-Allen Research Program projects.

PART III—ELIGIBILITY INFORMATION

A. Eligible Applicants

Applications may be submitted by 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, that conduct agricultural research activities in accordance with NARETPA section 1445: Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland – Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University.

Institutions may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

B. Cost Sharing or Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that “the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ...

100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.”

7 CFR 3419.1 defines “matching funds” as “funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions.” It defines “non-Federal sources” as “funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees.” Finally, it defines “qualifying educational activities” as “programs that address food and agricultural sciences components of an eligible institution.”

7 CFR 3419.6 states that “The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.”

PART IV—SUBMISSION INFORMATION

A. Address to Request Formula Grants Opportunity Package

Only electronic applications may be submitted to NIFA via Grants.gov in response to this FGO.

Prior to preparing an application, it is suggested that the 1890 Research Director, or his or her designee, first contact an Authorized Representative (AR) to determine if the organization is prepared to submit electronic applications through Grant.gov. If the organization is not prepared, the AR should see http://www.grants.gov/applicants/get_registered.jsp for steps for preparing to submit applications through Grants.gov.

The 1890 Research Director, or his or her designee, also must contact the institution’s AR in order to be granted permission for the submission of applications through Grants.gov. Once that permission is granted, the 1890 Research Director, or his or her designee, can obtain a login and password.

The steps to access application materials are as follows:

1. In order to access, complete and submit applications, applicants must download and install a version of Adobe Reader compatible with Grants.gov. This software is essential to apply for NIFA Federal assistance awards. For basic system requirements and download instructions, please see

- http://www.grants.gov/help/download_software.jsp. To verify that you have a compatible version of Adobe Reader, Grants.gov established a test package that will assist you in making that determination. Grants.gov Adobe Versioning Test Package: <http://www.grants.gov/applicants/AdobeVersioningTestOnly.jsp>.
2. The application package must be obtained via Grants.gov, go to <http://www.grants.gov>, click on “Apply for Grants” in the left-hand column, click on “Step 1: Download a Grant Application Package and Instructions,” enter the funding opportunity number “**USDA-NIFA-EA1445-002877**” in the appropriate box and click “Download Package.” From the search results, click “Download” to access the application package.

If assistance is needed to access the application page (e.g., downloading or navigating PDF forms, using Adobe with a Macintosh computer), refer to the resources available on the Grants.gov Web site first (<http://grants.gov>). Grants.gov assistance is also available as follows:

Grants.gov customer support
Toll Free: 1-800-518-4726
Business Hours: 24 hours a day, 7 days a week.
Closed on [Federal Holidays](#)
Email: support@grants.gov

See <http://www.nifa.usda.gov/funding/electronic.html> for additional resources for applying electronically.

B. Content and Form of Formula Grants Opportunity Package Submission

General

Each grant application must contain an “Application for Federal Assistance,” Form SF-424 Mandatory; NIFA Supplemental Information Form; and “Key Contacts Form”. If applicable, use “Form SF-424 Mandatory” to submit a matching waiver request. See E(2) of this Part for more details. These forms should be completed in accordance with the instructions accompanying the form on the grants.gov website. The following are the government-wide instructions followed by *agency specific guidelines*, if any, for each data element for each form:

Grant Application Package

Verify that the information shown corresponds to the grant for which the applicant wishes to apply. Grants.gov will auto-populate the following information:

- Opportunity Title
- Offering Agency
- Catalog of Federal Domestic Assistance (CFDA) Number
- CFDA Description
- Opportunity Number
- Competition ID
- Opportunity Open Date

- Opportunity Close Date
- Agency Contact

Enter a name for the application in the * **Application Filing Name** field. This field is required. This name is for use solely by the applicant for you to track through the Grants.gov submission process. It is not used by the receiving agency.

Open and complete all of the documents listed in the “Mandatory Documents” box. Complete the SF-424 first. Data entered on the SF-424 will populate other mandatory and optional forms where possible.

To open an item, simply click on it to select the item and then click on the “Open Form” button. When a form or document has been completed, click the form/document name to select it, and then click the => button. This will move the form/document to the “Completed Documents” box. To remove a form/document from the “Completed Documents” box, click the form/document name to select it, and then click the <= button. This will return the form/document to the “Mandatory Documents” or “Optional Documents” box.

1. Application for Federal Assistance (Standard Form (SF)-424 M (Mandatory))

- 1a. **Type of Submission:** Select one Type of Submission with agency instructions. *Select “Application.”*
- 1b. **Frequency:** Select applicable frequency for the Type of Submission in 1.a. *Select “Annual.”*
- 1c. **Consolidated Application/Plan/Funding Request?** Indicate if the submission is a consolidated application/plan/funding request. *Select “No.”*
- 1d. **Version:** Select the applicable version for the Type of Submission in 1.a:

Initial: Select “Initial” in response to this FGO. Use it to submit your institution’s application package, including the actual formula distribution amount for FY 2010 per Appendix A and available matching funds.

2. **Date Received:** Federal use only.
3. **Applicant Identifier:** Applicant use only. *Use or leave blank.*
- 4a. **Federal Entity Identifier:** Enter Federal entity identifier, if any, as specified in agency instructions. *Leave blank.*
- 4b. **Federal Award Identifier:** Enter Federal award identifier assigned by agency (if applicable). *Enter “USDA-NIFA-EA1445-002877.”*
5. **Date Received by State:** State use only. *Use or leave blank.*

6. **State Application Identifier:** State use only. *Use or leave blank.* This field is for use when an institution has its own system for tracking applications. This field allows the applicant to enter its application identifier on the application.
- 7a. **Legal Name:** Enter the following: Legal name of applicant. *Enter the name of the institution.*
- 7b. **Employer/Taxpayer Identification Number (EIN/TIN):** Enter: *Employer Identification Number (EIN) or Taxpayer Identification Number (TIN) as assigned by the Internal Revenue Service.*
- 7c. **Organizational DUNS:** Enter: *Organization's D-U-N-S® number (received from D&B) or the D-U-N-S +4® number (if available).*
- 7d. **Address:** Enter: Complete address of applicant. *Enter the institution's mailing address.*
- 7e. **Organizational Unit:** Enter: Name of primary organizational unit (and department/division, if applicable), which will undertake the assistance activity. *Enter the office where the 1890 Research Director, or his or her designee, is located.*
- 7f. **Name and contact information of person to be contacted on matters involving this application:** For the person to contact on matters related to this submission: name, organizational affiliation (if affiliated with an organization other than the applicant organization, e-mail address, phone number, and fax number. *Enter the contact information for the person performing this electronic submission.*
- 8a. **Type of Applicant:** Select the appropriate letter and enter in the space provided. Letters O, P, Q, R, S, T, U, V, and W are not applicable.
- A. State Government
 - B. County Government
 - C. City or Township Government
 - D. Special District Government
 - E. Regional Organization
 - F. U.S. Territory
 - G. Independent School District
 - H. Public/State Controlled Institution of Higher Education
 - I. Indian/Native American Tribal Government (Federally Recognized)
 - J. Indian/Native American Tribal Government (Other than Federally Recognized)
 - K. Indian/Native American Tribally Designated Organization
 - L. Public/Indian Housing Authority
 - M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)
 - N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)
 - X. Other (specify in accordance with agency instructions)

Please enter the appropriate code for your institution.

9. **Name of Federal agency:** Enter name of Federal agency from which assistance is being requested. *No entry required. This field is pre-populated from the opportunity package.*
10. **Catalog of Federal Domestic Assistance Number:** Enter the Catalog of Federal Domestic Assistance (CFDA) number and title of the program under which assistance is requested. Use the continuation sheet to enter multiple CFDA numbers and titles. *No entry required. This field is pre-populated from the opportunity package.*
11. **Areas Affected by Funding (Cities, Counties, States, etc. Use continuation sheet on page 5 if necessary):** List areas or entities affected using categories specified in agency instructions. Use the continuation sheet to enter multiple entities beyond the space provided. *Enter cities, counties, states, etc. anticipated to be affected by the FY 2010 allocation you will receive.*
- 12a. **Congressional Districts of (Use continuation sheet on page 5 if necessary), Applicant:** Applicant-Enter the applicant's congressional district. Enter the congressional district. *Enter the Congressional district where the main campus is located.*
- 12b. **Congressional Districts of (Use continuation sheet on page 5 if necessary), Program/Project:** Program/Project-Enter the congressional district(s) affected by the program or project. If all congressional districts are included for a State, use "all", e.g., all congressional districts in Maryland would show as MD-all). Use the continuation sheet to enter multiple congressional districts that represent less than all congressional districts in a State or congressional districts in multiple States. *(Note: If this application is being completed by someone other than the 1890 Research Director, or his or her designee, the 1890 Research Director, or his or her designee, may need to be consulted on this question.)*
- 13a. **Funding Period, Start Date:** Enter the start date of the funding period for this submission. *Enter "10/01/2009".*
- 13b. **Funding Period, End Date:** Enter the end date of the funding period for this submission. *Enter "09/30/2010"*
- 14a. **Estimated Funding, Federal:** Federal-Enter the amount requested from the Federal agency. If the agency has specified an amount, enter that amount. *Enter your institution's actual FY 2010 allocation as listed in Appendix A to this announcement.*
- 14b. **Estimated Funding, Match:** Match-Enter the amount of funds from all other sources. *Enter the amount your institution will match.*
15. **Is Submission Subject to Review By State Under Executive Order 12732 Process?** Select "c. Program is not covered by E.O. 12372."

16. **Is the Applicant Delinquent On Any Federal Debt?** Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans, and taxes. This question applies to the applicant (institution) organization.
17. **By signing this application, I certify (1) to the statements contained in this list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms (as identified under Part VI, B) if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)** To be signed by the 1890 Research Director, or his or her designee, of the applicant organization. Enter the name, title, phone number, e-mail address, and fax number of the 1890 Research Director, or his or her designee. *The required assurances designated here includes the institutions compliance with all Federal laws and regulations associated with receipt of Federal Domestic Assistance for this program, including the matching certification and certification regarding lobbying activities. By hitting the submit button of the application, the 1890 Research Director, or his or her designee, certifies to the above.*

2. NIFA Supplemental Information Form (Required)

1. **Funding Opportunity Name:** *No entry required. This is pre-populated from the opportunity package.*
Funding Opportunity Number: *No entry required. This is pre-populated the opportunity package.*
2. **Program Code Name:** *Enter “Evans-Allen (Section 1445).”*
Program Code: *Enter “1445.”*
3. **Type of Applicant:** *No entry required. This will be pre-populated from your entry on the application cover sheet.*
4. **Additional Applicant Types:** *Select “1890 Land-Grant University (including Tuskegee University & West Virginia State University)” from the drop down menu in this block.*
5. **Supplemental Applicant Types:** *Please check all that apply.*
6. **HHS Account Information:** *Click on the radio button next to “Yes”. Enter your HHS PIN number in the appropriate box. IMPORTANT: IN THIS CONTEXT YOUR PIN NUMBER IS YOUR HHS ACCOUNT NUMBER. DO NOT ENTER THE PASSWORD YOU USE TO ACCESS THE HHS PAYMENT MANAGEMENT SYSTEM.*
7. **Key Words:** *Enter “Not applicable.”*

8. **Conflict of Interest List:** *Leave blank.*

3. Key Contacts Form (Required)

Applicant Organization Name: Enter the complete legal name of the institution.

Contact 1 Project Role: *Enter the contact information for the 1890 Research Director.*

Contact 2 Project Role: *Enter the contact information for the 1890 Research Director's designee, if applicable.*

Contact 3 Project Role: *Enter the contact information for the Business Office Manager/Administrator for this program.*

Contact 4 Project Role: *Enter the contact information for the person responsible for drawdowns.*

4. Matching Funds Waiver Request (Optional)

If your institution is applying for a matching funds waiver, please prepare a request in PDF format. Please include the following elements in the request:

- (a) a request for the waiver by grant,
- (b) a statement of the fiscal year(s) for which the waiver is requested,
- (c) a statement of the amount of waiver being requested by fiscal year and how the amount was computed (by matching fund category),
- (d) a statement of why the waiver is required,
- (e) documentation supporting the need for a waiver and
- (f) the university's efforts to meet future matching needs.

The documentation should include the university's approved budget for the program for both the current and previous budget cycles. It may also submit items such as a statement from the State asserting what portion of the required match amount the State contribution to the institution will cover, a schedule of projected cash flows showing what portion of the matching funds the university will have available or other documents such as letters from State or institution budget personnel or newspaper articles. In requesting the waiver, the institution must consider the availability of all permissible forms of matching in detail.

Please attach the matching waiver request to "Form SF-424 Mandatory." To attach the waiver request to the form, go to "Attach supporting documents as specified in agency instructions," click on "Add Attachment," and follow the instructions.

Saving the Application

The application package can only be saved from the Grant Application Package screen. It is recommended that after the applicant completes each form/document that they click on the "Close Form" button to close the form and then save the application package by clicking on the

“Save” button at the top of the Grant Application Package Screen. It is important to note that the application package will be saved to the last active directory on the applicant’s computer. Therefore, the applicant should save the application package to their hard drive where it can be easily accessed. The applicant may save their application package at any time and should save the application package with the same file name each time. An error message will occur each time that the applicant saves the form before completing all of the mandatory fields on the mandatory forms or the optional forms are moved to the Optional Completed Documents for Submission column on the application package. The applicant may ignore this warning. This warning will not appear when all of the mandatory fields have been completed on all of the forms/documents in the application package.

Check Package for Errors

To initiate the Grants.gov edit check process, simply click on the “Check Package for Errors” button at the top of the screen. This process will identify all mandatory fields that have not been completed by the applicant. These errors will be displayed one at a time.

No checks against NIFA business rules will take place at this time.

Submitting the Application

The AR must open the browser, open the application and then press the Submit button. To submit the application:

1. Close all open browser(s) and running applications.
2. Open the internet browser.
3. Navigate to the physical location where the application file is located. Select the application to open.
4. The internet browser will open the application. This may take a few moments. Please be patient.
5. Once the application is completely open, click the **Submit** button. (The Submit button will not become active until all mandatory documents have been properly completed and the application has been saved.)
6. Click **Sign and Submit**.
7. Next, enter your username and password.
8. Lastly, click **Login**.

Only the AR has the authority and user rights to **Sign and Submit** an application to Grants.gov. Additional submission tips may be found at the Grants.gov website.

Grants.gov Submission Tips: http://www.grants.gov/help/submit_application_faqs.jsp

C. Certifications

Important Note: By signing Form SF-424-M, the applicant is providing the certifications required by 7 CFR Part 3017, regarding Debarment and Suspension and Drug-Free Workplace, and 7 CFR Part 3018, regarding Lobbying, and compliance with the provisions of the NIFA Administrative Manual for Evans-Allen Cooperative Agricultural Research, including 7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR 3019, Uniform Administrative

Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR 3016, Uniform Administration Requirements for Grants and Cooperative Agreements to States and Local Governments; and OMB Circulars A-21, Cost Principles for Educational Institutions (now codified at 2 CFR Part 220), and A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations (now codified at 2 CFR Part 215). If the project will involve a subcontractor or consultant, the subcontractor and/or consultant should submit a Form AD-1048, Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions, to the grantee organization for retention in their records. This form should not be submitted to USDA.

D. Submission Dates and Times

An “Application for Federal Assistance,” Form SF-424 Mandatory; NIFA Supplemental Information Form and “Key Contacts Form;” and if applicable, a matching waiver request (as a PDF file), should be submitted online at the www.grants.gov website by COB on March 8, 2010 (5:00 p.m. Eastern Time).

The receipt of all applications will be acknowledged by e-mail. Therefore, applicants are strongly encouraged to provide accurate e-mail addresses on the Key Contacts Form via the www.grants.gov website.

If an applicant has not received an acknowledgment within 60 days of the submission, the applicant must contact the Agency contact (see Part VII) immediately and ask for the application number assigned to the application. Failure to do so may result in the application not being processed and funding being held. Once the application has been assigned an application number, this number should be cited on all future correspondence.

E. Funding Restrictions

(1) Approved NIFA Evans-Allen Research Projects

NIFA will fund the Evans-Allen Research Program in FY 2010 for authorized activities. Funds may be used on approved Evans-Allen Research Program projects.

(2) Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that “the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.”

7 CFR 3419.1 defines “matching funds” as “funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions.” It defines “non-Federal sources” as “funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees.” Finally, it defines “qualifying educational activities” as “programs that address food and agricultural sciences components of an eligible institution.”

7 CFR 3419.6 states that “The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.”

Please note that while Federal funds must be expended on agricultural research, the matching funds may be used for agricultural research, agricultural extension, or qualifying educational activities. NIFA may consider and approve matching waivers above the 50 percent level. See Part IV.B.6. for additional information.

(3) Indirect Costs and Tuition Remission

In accordance with NARETPA section 1473 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Evans-Allen Research Program formula grant expenditures.

F. Other Submission Requirements

(1) CRIS Requirements

Project information for the Evans-Allen Research Program should continue to be submitted to the CRIS unit as in previous years. See the website at: <http://cwf.uvm.edu/cris>. Required submissions include:

- 1) Program of Research
- 2) Project Listing*
- 3) Work Unit Description (AD-416)*
- 4) Project Classification (AD-417)*
- 5) Assurance Form (NIFA-2008)*
- 6) Accomplishments Report (AD-421)
- 7) Financial and Staff Support Report (AD-419)

*Submitted at project initiation.

(2) Plan of Work Requirements

Program information for the Evans-Allen Research Program should be submitted to the Office of Planning and Accountability through the website established for this purpose (<http://pow.nifa.usda.gov>). Required submissions include the 5-Year Plan of Work Update for FY 2010-2014 and the Annual Report of Accomplishments and Results. Both reports were due April 1, 2009.

PART V—SUBMISSION REVIEW REQUIREMENTS

The Office of Planning and Accountability and the OEP Formula Grants Section will be reviewing submitted documents to determine if all program, financial, and administrative requirements have been met and are current.

PART VI—AWARD ADMINISTRATION

A. General

The Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institution's compliance with the Plan of Work reporting requirements (i.e., 5-Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis in FY 2010. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved.

B. Administrative and National Policy Requirements

Several Federal statutes and regulations apply to grant applications considered for review and formula grants awarded under this program. These include, but are not limited to:

7 CFR Part 1, subpart A — USDA implementation of the Freedom of Information Act.

7 CFR Part 3 — USDA implementation of OMB Circular No. A-129 regarding debt collection.

7 CFR Part 15, subpart A — USDA implementation of Title VI of the Civil Rights Act of 1964, as amended.

7 CFR Part 331 and 9 CFR Part 121 — USDA implementation of the Agricultural Bioterrorism Protection Act of 2002.

7 CFR Part 3015 — USDA Uniform Federal Assistance Regulations, implementing OMB directives (i.e., OMB Circular Nos. A-21 and A-122, now codified at 2 CFR Parts 220 and 230) and incorporating provisions of 31 U.S.C. 6301-6308 (formerly the Federal Grant and Cooperative Agreement Act of 1977, Pub. L. No. 95-224), as well as general policy requirements applicable to recipients of Departmental financial assistance.

7 CFR Part 3017 — USDA implementation of Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug Free Workplace (Grants).

7 CFR Part 3018 — USDA implementation of Restrictions on Lobbying.

7 CFR Part 3019 — USDA implementation of OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations (now codified at 2 CFR 215).

7 CFR 3021 — Governmentwide Requirements for Drug Free Workplace (Grants).

7 CFR Part 3052 — USDA implementation of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations.

7 CFR Part 3407 — NIFA procedures to implement the National Environmental Policy Act of 1969, as amended.

7 CFR Part 3419 — Matching Funds Requirement for Agricultural Research and Extension Formula Funds at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University

29 U.S.C. 794 (section 504, Rehabilitation Act of 1973) and 7 CFR Part 15b (USDA implementation of statute) —prohibiting discrimination based upon physical or mental handicap in Federally assisted programs.

35 U.S.C. 200 et seq. —Bayh Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401).

C. Expected Program Outputs and Reporting Requirements

The Plan of Work Update for FY 2010-2014 and Annual Report of Accomplishments and Results should have been submitted by April 1, 2009 online at the web address: <http://pow.nifa.usda.gov>.

Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; NIFA-2008, Assurance Form; and Project Listing through the Current Research Information System at the initiation of each Evans-Allen Research project. Each eligible institution shall submit a CRIS Form AD-421, Accomplishments Report, annually. Reports from institutions reporting on a calendar year should have been submitted by April 1, 2009, for the preceding calendar year. Reports from institutions reporting on a fiscal year should have been submitted by February 1, 2009, for the preceding fiscal year.

An Accomplishments Report, CRIS Form AD-421, shall be submitted to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are

progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Financial and Staff Support Report, shall be submitted to NIFA annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and should have been submitted by February 1, 2009.

Guidance on the submission of the SF-425, Federal Financial Report, for FY 2010 formula grant awards will be provided by mid-March 2010.

PART VII—AGENCY CONTACT

For questions regarding Evans-Allen Research Program projects and the Evans-Allen Research Program, please contact: Dr. P.S. Benepal, Director, Multicultural Alliances, Stop 2250, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2250; Telephone: (202) 720-1254; Fax: (202) 720-4924; E-mail: pbenepal@nifa.usda.gov

For questions relating to the completion of the grants.gov forms and funding release, please contact: Pat Myers, Office of Extramural Programs, Stop 2271, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2298; Telephone: (202) 205-0453 ; Fax: (202) 401-3481 ; E-mail: formulagrantsquestions@nifa.usda.gov

For questions relating to CRIS forms and submissions, please contact The Current Research Information System Office, USDA/NIFA, Stop 2270, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2270; Telephone: (202) 690-0009; Fax: (202) 690-0634; E-mail: cris@nifa.usda.gov.

For questions relating to the 5-Year Plan of Work Update for FY 2010-2014 or the Annual Report of Accomplishments and Results, please contact Bart Hewitt, Office of Planning and Accountability, USDA/NIFA, Stop 2214, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2214; Telephone: (202) 720-7714; Fax: (202) 720-4730; E-mail: bhewitt@nifa.usda.gov.

If help is needed with the Grants.gov registration process for the applicant organization, downloading or navigating PDF forms, using Adobe Reader with a Macintosh computer or with the technical aspects of submitting to the Grants.gov system, check the resources available on the Grants.gov Web site first (<http://grants.gov>).

Grants.gov assistance is also available as follows:

Grants.gov customer support
Toll Free: 1-800-518-4726
Business Hours: 24 hours a day, 7 days a week. Closed on [Federal Holidays](#)
Email: support@grants.gov

If the Authorized Representative (AR) has not received a confirmation message from Grants.gov within 48 hours of submission of an application, please contact Grants.gov customer support.

When Grants.gov is contacted, a ticket number is issued to track the response. The applicant should maintain the ticket number as documentation of electronic issues associated with the application.

Grants.gov Help Desk Operations Process is described below:

Tier 1: Grants.gov Contact Center Operator

- Handles the initial contact and attempts to resolve the call.
- If not, files a research request (escalation).

Tier 2: Research Analyst

- Escalations go to the research analyst who does further research on the issue.
- Unable to resolve, issue escalated to Grants.gov Program management Office.

Tier 3: Grants.gov PMO

- Research Analyst works with PMO to resolve the issue.
- Typical escalations: Policy questions/System bugs/Training or Meeting Requests.

**UNITED STATES DEPARTMENT OF AGRICULTURE
National Institute of Food and Agriculture**

**FY 2010 Appropriation to the 1890 Land-grant Institutions
Tuskegee University, and West Virginia State University
Authorized under Public Law 95-113, Section 1445, Evans-Allen Funds
(Award 2010-33100)**

**Appendix A
FINAL**

<u>INSTITUTION AND LOCATION</u>	<u>Grant Number</u>	<u>FY 2010 NET TO STATES</u>	<u>Matching Requirement</u>
ALABAMA A & M UNIVERSITY Normal, Alabama	2010-33100-08901	\$2,502,085	\$2,502,085
TUSKEGEE UNIVERSITY Tuskegee, Alabama	2010-33100-08902	2,482,950	2,482,950
UNIVERSITY OF ARKANSAS - PINE BLUFF Pine Bluff, Arkansas	2010-33100-08903	2,152,523	2,152,523
DELAWARE STATE UNIVERSITY Dover, Delaware	2010-33100-08904	1,192,685	1,192,685
FLORIDA A & M UNIVERSITY Tallahassee, Florida	2010-33100-08905	1,976,232	1,976,232
FORT VALLEY STATE UNIVERSITY Fort Valley, Georgia	2010-33100-08906	2,863,095	2,863,095
KENTUCKY STATE UNIVERSITY Frankfort, Kentucky	2010-33100-08907	3,414,101	3,414,101
SOUTHERN UNIVERSITY Baton Rouge, Louisiana	2010-33100-08908	1,914,171	1,914,171
UNIVERSITY OF MARYLAND - EASTERN SHORE Princess Anne, Maryland	2010-33100-08909	1,442,218	1,442,218
ALCORN STATE UNIVERSITY Lorman, Mississippi	2010-33100-08910	2,383,477	2,383,477
LINCOLN UNIVERSITY Jefferson City, Missouri	2010-33100-08911	3,359,503	3,359,503
NORTH CAROLINA A & T STATE UNIVERSITY Greensboro, North Carolina	2010-33100-08912	3,950,947	3,950,947
LANGSTON UNIVERSITY Langston, Oklahoma	2010-33100-08913	2,187,553	2,187,553
SOUTH CAROLINA STATE UNIVERSITY			

Orangeburg, South Carolina	2010-33100-08914	2,137,063	2,137,063
TENNESSEE STATE UNIVERSITY Nashville, Tennessee	2010-33100-08915	3,117,643	3,117,643
PRAIRIE VIEW A & M UNIVERSITY Prairie View, Texas	2010-33100-08916	4,606,053	4,606,053
VIRGINIA STATE UNIVERSITY Petersburg, Virginia	2010-33100-08917	2,660,840	2,660,840
WEST VIRGINIA STATE UNIVERSITY Institute, West Virginia	2010-33100-08919	1,407,119	1,407,119
TOTAL PAYMENTS TO STATES		\$45,750,258	\$45,750,258
SMALL BUSINESS SET-ASIDE		1,176,125	
BIOTECH RISK ASSESSMENT		70,140	
CRIS COSTS		48,477	
SUBTOTAL		\$47,045,000	
FEDERAL ADMINISTRATION		1,455,000	
TOTAL APPROPRIATION		\$48,500,000	